# Idempotent and nilpotent elements in octonion rings over $\mathbb{Z}_{\mathbf{p}}$

Michael Aristidou, Philip R. Brown and George Chailos

**Abstract.** In this paper, we show that the set  $\mathbb{O}/\mathbb{Z}_p$ , where p is a prime number, does not form a skew field and discuss idempotent and nilpotent elements in the (finite) ring  $\mathbb{O}/\mathbb{Z}_p$ . We provide examples and establish conditions for idempotency and nilpotency.

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## 1. Introduction

Quaternions, denoted by  $\mathbb{H}$ , were first discovered by William. R. Hamilton in 1843 as an extension of complex numbers into four dimensions [10]. Namely, a quaternion is of the form

$$x = a_0 + a_1 i + a_2 j + a_3 k,$$

where  $a_i$  are reals and i, j, k are such that  $i^2 = j^2 = k^2 = ijk = -1$ . Algebraically speaking,  $\mathbb{H}$  forms a division algebra (skew field) over  $\mathbb{R}$  of dimension 4 ([10], p.195-196). About the same time, John T. Graves discovered the octonions, denoted by  $\mathbb{O}$ , which are 8-dimensional numbers of the form

$$x = a_0 + a_1e_1 + a_2e_2 + a_3e_3 + a_4e_4 + a_5e_5 + a_6e_6 + a_7e_7$$

where  $a_i$  are reals and  $e_i$ 's are mutually anti-commuting roots of unity. (i.e.  $e_i^2 = -1$ and  $e_i e_j = e_k$ ,  $e_j e_i = -e_k$ ,  $i \neq j$ ) [6]. Algebraically speaking,  $\mathbb{O}$  forms a normed division algebra (skew field) over  $\mathbb{R}$  of dimension 8 [6]. It is the largest of the (only) four normed division algebras and it is nonassociative.

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#### Michael Aristidou, Philip R. Brown and George Chailos

A study of the structure and some of its properties of the finite  $\operatorname{ring}^2 \mathbb{H}/\mathbb{Z}_p$ , where p is a prime number, was done in [2]. A more detailed description of the structure  $\mathbb{H}/\mathbb{Z}_p$  was given by Miguel and Serodio in [20]. Among others, they found the number of zero-divisors, the number of idempotent elements, and provided an interesting description of the zero-divisor graph. In particularly, they showed that the number of idempotent elements in  $\mathbb{H}/\mathbb{Z}_p$  is  $p^2 + p + 2$ , for p odd prime. As discussed in [3], the only scalar idempotents in  $\mathbb{H}/\mathbb{Z}_p$  are  $a_0 = 0, 1$ . Furthermore, there are no purely imaginary idempotents in  $\mathbb{H}/\mathbb{Z}_p$ . On the other hand, in [4], it was shown that nilpotents x in  $\mathbb{H}/\mathbb{Z}_p$  are purely imaginary with norm N(x) = 0 and  $x^2 = 0$ .

In the sections that follow, we look at the structure of the finite ring  $\mathbb{O}/\mathbb{Z}_p$ . The multiplication of octonions followed the Fano Plane and it was programmed in Maple<sup>3</sup>. We give examples of idempotent and nilpotent elements in  $\mathbb{O}/\mathbb{Z}_p$  and provide conditions for idempotency and nilpotency in  $\mathbb{O}/\mathbb{Z}_p$ .

# 2. Is $\mathbb{O}/\mathbb{Z}_p$ a finite skew field? A counterexample

In [2] we saw that since  $\mathbb{Z}_{\mathbf{p}}$  is a field, then  $\mathbb{H}/\mathbb{Z}_p$  is a quaternion algebra. The theory of quaternion algebras over a field  $\mathbb{K}$  (char $\mathbb{K} \neq 2$ ) tells us that a quaternion algebra Q is either a division ring or  $Q = \mathbb{M}_{2 \times 2}(\mathbb{K})$  ([16], p.16, 19). Since  $\mathbb{H}/\mathbb{Z}_p$  is not a division ring (see [2]), then  $\mathbb{H}/\mathbb{Z}_p \cong \mathbb{M}_{2 \times 2}(\mathbb{Z}_p)$  if  $p \neq 2$ .

The real matrix representation of  $\mathbb{H}/\mathbb{Z}_p$ , where  $x = a_0 + a_1i + a_2j + a_3k \in \mathbb{H}/\mathbb{Z}_p$ , is achieved by the  $4 \times 4$  left or right Hamilton Operators as follows:

$$H_x^L = \begin{bmatrix} a_0 & -a_1 & -a_2 & -a_3 \\ a_1 & a_0 & -a_3 & a_2 \\ a_2 & a_3 & a_0 & -a_1 \\ a_3 & -a_2 & -a_1 & -a_0 \end{bmatrix} H_x^R = \begin{bmatrix} a_0 & -a_1 & -a_2 & -a_3 \\ a_1 & a_0 & a_3 & -a_2 \\ a_2 & -a_3 & a_0 & a_1 \\ a_3 & a_2 & -a_1 & a_0 \end{bmatrix}$$

But is the finite ring  $\mathbb{O}/\mathbb{Z}_p$  a skew field? Consider the elements

$$x_1 = 2e_2 - e_3, x_2 = e_4 + 3e_5$$

in  $\mathbb{O}/\mathbb{Z}_5$ . Multiplying the two, we get:

$$x_1 \cdot x_2 = (2e_2 - e_3)(e_4 + 3e_5) = 0 \pmod{5}$$

This shows that  $\mathbb{O}/\mathbb{Z}_5$  has zero-divisors, and hence  $\mathbb{O}/\mathbb{Z}_5$  is not a skew field. This was also anticipated by some well-known theorem in algebra, by Wedderburn in 1905 ([11], p.361), which says that: "Every finite skew field is a field". Since  $\mathbb{O}/\mathbb{Z}_p$  is not commutative, then it is not a field, and so it is not a skew-field.

So, what is the structure of  $\mathbb{O}/\mathbb{Z}_p$ ? Since  $\mathbb{Z}_p$  is a field, then  $\mathbb{O}/\mathbb{Z}_p$  is a nonassociative octonion algebra. As a matter of fact, is it an alternative, flexible and power associative algebra<sup>4</sup>. It is well known that  $\mathbb{O}$  is a skew field, yet it has no "proper" matrix representation due to the non-associativity. Nevertheless, as  $\mathbb{O}$  is an extension of  $\mathbb{H}$ , by the Cayley-Dickson process, some non-proper 8 × 8 real matrix representations were introduced, by Tian in [26], through the left and right Hamilton Operators of quaternions analogous to the one above. Namely:

$$H_x^L = \begin{bmatrix} a_0 & -a_1 & -a_2 & -a_3 & -a_4 & -a_5 & -a_6 & -a_7 \\ a_1 & a_0 & -a_3 & a_2 & -a_5 & a_4 & a_7 & -a_6 \\ a_2 & a_3 & a_0 & -a_1 & -a_6 & -a_7 & a_4 & a_5 \\ a_3 & -a_2 & a_1 & a_0 & -a_7 & a_6 & -a_5 & a_4 \\ a_4 & a_5 & a_6 & a_7 & a_0 & -a_1 & -a_2 & -a_3 \\ a_5 & -a_4 & a_7 & -a_6 & a_1 & a_0 & a_3 & a_2 \\ a_6 & -a_7 & -a_4 & a_5 & a_2 & -a_3 & a_0 & a_1 \\ a_7 & a_6 & -a_5 & -a_4 & a_3 & a_2 & -a_1 & a_0 \end{bmatrix}$$

$$H_x^R = \begin{bmatrix} a_0 & -a_1 & -a_2 & -a_3 & -a_4 & -a_5 & -a_6 & -a_7 \\ a_1 & a_0 & a_3 & -a_2 & a_5 & -a_4 & -a_7 & a_6 \\ a_2 & -a_3 & a_0 & a_1 & a_6 & a_7 & -a_4 & -a_5 \\ a_3 & a_2 & -a_1 & a_0 & a_7 & -a_6 & a_5 & -a_4 \\ a_4 & -a_5 & -a_6 & -a_7 & a_0 & a_1 & a_2 & a_3 \\ a_5 & a_4 & -a_7 & a_6 & -a_1 & a_0 & -a_3 & a_2 \\ a_6 & a_7 & a_4 & -a_5 & -a_2 & a_3 & a_0 & -a_1 \\ a_7 & -a_6 & a_5 & a_4 & -a_3 & -a_2 & a_1 & a_0 \end{bmatrix}$$

Modifying the above over  $\mathbb{Z}_p$ , one could easily get the left and right  $8 \times 8$  real representations of  $\mathbb{O}/\mathbb{Z}_p$  as follows<sup>5</sup>:

$$H_x^L = \begin{bmatrix} a_0 & p - a_1 & p - a_2 & p - a_3 & p - a_4 & p - a_5 & p - a_6 & p - a_7 \\ a_1 & a_0 & p - a_3 & a_2 & p - a_5 & a_4 & a_7 & p - a_6 \\ a_2 & a_3 & a_0 & p - a_1 & p - a_6 & p - a_7 & a_4 & a_5 \\ a_3 & p - a_2 & a_1 & a_0 & p - a_7 & a_6 & p - a_5 & a_4 \\ a_4 & a_5 & a_6 & a_7 & a_0 & p - a_1 & p - a_2 & p - a_3 \\ a_5 & p - a_4 & a_7 & -a_6 & a_1 & a_0 & a_3 & a_2 \\ a_6 & p - a_7 & p - a_4 & a_5 & a_2 & p - a_3 & a_0 & a_1 \\ a_7 & a_6 & p - a_5 & -a_4 & a_3 & a_2 & p - a_1 & a_0 \end{bmatrix}$$

$$H_x^R = \begin{bmatrix} a_0 & p - a_1 & p - a_2 & p - a_3 & p - a_4 & p - a_5 & p - a_6 & p - a_7 \\ a_1 & a_0 & a_3 & p - a_2 & a_5 & p - a_4 & p - a_7 & a_6 \\ a_2 & p - a_3 & a_0 & a_1 & a_6 & a_7 & p - a_4 & p - a_5 \\ a_3 & a_2 & p - a_1 & a_0 & a_7 & p - a_6 & a_5 & p - a_4 \\ a_4 & p - a_5 & p - a_6 & p - a_7 & a_0 & a_1 & a_2 & a_3 \\ a_5 & a_4 & p - a_7 & a_6 & p - a_1 & a_0 & p - a_3 & a_2 \\ a_6 & a_7 & a_4 & p - a_5 & p - a_2 & a_3 & a_0 & p - a_1 \\ a_7 & p - a_6 & a_5 & a_4 & p - a_3 & p - a_2 & a_1 & a_0 \end{bmatrix}$$

Notice that for the octonionic cases  $\mathbb{O}$  and  $\mathbb{O}/\mathbb{Z}_p$ , we have that  $H_{xy}^L \neq H_x^L H_y^L$  because of the non-associativity.

## 3. Idempotent and nilpotents elements in $\mathbb{O}/\mathbb{Z}_p$

Recall that an element x in a ring R is called idempotent if  $x^2 = x$ . In the ring  $\mathbb{H}/\mathbb{Z}_p$ , p prime, in the special case where  $x = a_0, a_0 \neq 0$  (i.e., x is a nonzero scalar in  $\mathbb{H}/\mathbb{Z}_p$ ) one quickly observes that if x is idempotent then x = 1, for x in 1, 2, ..., p-1, since (x,p) = 1. Therefore, the only scalar idempotent in  $\mathbb{H}/\mathbb{Z}_p$ is 1 (we omit the case x = 0 as trivial). Another simple case is the case where x = ai, aj or  $ak, a \neq 0$  (i.e., a non-zero scalar multiple of the imaginary units). Then,  $x^2 = (ai)^2 = -a^2i^2 = -a^2 \neq ai = x$ , which shows that there are no idempotents of the form ai, aj or ak. (Again, we omitted the case x = 0 as trivial). Examples of proper idempotents<sup>6</sup> and conditions for idempotency in  $\mathbb{H}/\mathbb{Z}_p$  were given in [3]. Due to the isomorphism  $\mathbb{H}/\mathbb{Z}_p \cong \mathbb{O}[e_i, e_i, e_ie_i]$  (where  $e_i \neq e_i$ ) idempotents in  $\mathbb{H}/\mathbb{Z}_p$  will transfer in some subalgebras<sup>7</sup> of  $\mathbb{O}/\mathbb{Z}_p$ . For example, x = 4 + i + 3j + 4k is idempotent in  $\mathbb{H}/\mathbb{Z}_7$  and therefore  $x = 4 + e_1 + 3e_2 + 4e_3$  is idempotent in  $\mathbb{O}/\mathbb{Z}_7$ . Nevertheless,  $x = 4 + e_1 + 3e_3 + 4e_5$  is a non-"quaternionic" idempotent in  $\mathbb{O}/\mathbb{Z}_7$ . Notice that x = 7i + 4j is nilpotent in  $\mathbb{H}/\mathbb{Z}_{13}$  and so  $x = 7e_1 + 4e_2$  is also nilpotent in  $\mathbb{O}/\mathbb{Z}_{13}$ . Nevertheless,  $x = 4e_1 + e_2 + 3e_3 + 4e_5$  is a non-"quaternionic" nilpotent in  $\mathbb{O}/\mathbb{Z}_7$ . As we will show below, purely imaginary octonions in  $\mathbb{O}/\mathbb{Z}_p$  cannot be idempotents, just as in  $\mathbb{H}/\mathbb{Z}_p$  [3]. And nilpotents in  $\mathbb{O}/\mathbb{Z}_p$  are purely imaginary, just as in  $\mathbb{H}/\mathbb{Z}_p$  [4].

**Theorem 3.1.** Let  $x \in \mathbb{O}/\mathbb{Z}_p$  be an octonion of the form  $x = a_0 + \sum_{i=1}^7 a_i e_i$ . Then x is idempotent if and only if  $a_0 = \frac{1+p}{2}$  and  $\sum_{i=1}^7 a_i^2 = \frac{p^2-1}{4}$ .

*Proof.* We follow the steps given in the proof for the quaternion case in [3]. Since x is idempotent, we have:

$$x^{2} = x \Rightarrow \left(a_{0} + \sum_{i=1}^{7} a_{i}e_{i}\right) \left(a_{0} + \sum_{i=1}^{7} a_{i}e_{i}\right) = a_{0} + \sum_{i=1}^{7} a_{i}e_{i}$$
$$\Rightarrow a_{0}^{2} + 2a_{0}\sum_{i=1}^{7} a_{i}e_{i} + \left(\sum_{i=1}^{7} a_{i}e_{i}\right) \left(\sum_{i=1}^{7} a_{i}e_{i}\right) = a_{0} + \sum_{i=1}^{7} a_{i}e_{i}$$
$$\xrightarrow{\text{distr.}}_{\overrightarrow{\text{Fano}}} a_{0}^{2} - \sum_{i=1}^{7} a_{i}^{2} = a_{0} \quad \text{and} \quad 2a_{0}a_{i} = a_{i}$$

From the  $2^{nd}$  equation, we have that either  $a_i = 0$  or  $2a_0 = 1$ . That is  $a_0 = \frac{1+p}{2}$ , as  $p = 0 \pmod{p}$ . Substituting the latter in the  $1^{st}$  equation, we get  $\sum_{i=1}^7 a_i^2 = \frac{p^2 - 1}{4}$ .  $\Box$ 

**Corollary 3.2.** Let  $x \in \mathbb{O}/\mathbb{Z}_p$  be a purely imaginary octonion of the form

$$x = \sum_{i=1}^{7} a_i e_i$$

#### Then x is not idempotent.

*Proof.* If x is purely imaginary then  $a_0 = 0$ . Then from Theorem 3.1,  $0 = \frac{1+p}{2}$  which is a contradiction.

**Example 3.3.** Consider  $x = 4 + e_1 + 3e_3 + 4e_5$  in  $\mathbb{O}/\mathbb{Z}_7$ . Then x is idempotent. Notice that  $4 = \frac{1+7}{2}$  and  $1^2 + 3^2 + 4^2 = 26 = \frac{49-1}{4} \mod(7)$ .

**Remark 3.4.** To find the number of idempotents in  $\mathbb{O}/\mathbb{Z}_p$ , one could naturally find how many ways  $\frac{p^2-1}{4}$  can be written as a sum of seven or fewer squares. The equation  $\sum_{i=1}^{7} a_i^2 = \frac{p^2-1}{4}$  in Theorem 3.1 brings to mind the "sum of seven squares problem",

which is to find the different values  $r_7(n)$  for which  $n = \sum_{i=1}^{r} x_i^2$ ,  $n \in \mathbb{N}$ . A formula for square-free values of n were stated without proof by Eisenstein in 1847, and those were extended to all positive integers n by Smith in 1864, also without a proof. Hardy in 1920 developed a method in deriving the proof for  $r_k(n)$ , where k is odd, but he explicitly showed only the  $r_5(n)$  case in [13, 12]. More general results for  $r_7(n)$  were given by Cooper in 2001 [8] and Cooper and Hirschhorn in 2007 [9].

Recall that an element x in a ring R is called nilpotent if  $x^k = 0$  for some  $k \in \mathbb{N}$ . In [4], it was shown that if x in  $\mathbb{H}/\mathbb{Z}_p$  is nilpotent then the norm N(x) = 0 (where  $N(x) = xx^* = \sum_{i=0}^{3} a_i^2$ ) and, furthermore, that x is purely imaginary and  $x^2 = 0$ . If  $x \in \mathbb{O}/\mathbb{Z}_p$ , we have similar results. First, consider the following Lemmas:

**Lemma 3.5.** For any  $x \in \mathbb{O}/\mathbb{Z}_p$ , we have that  $x^2 - 2a_0x + N(x) = 0$ .

*Proof.* Let  $x = a_0 + \sum_{i=1}^{r} a_i e_i$ . Then the left-hand side of the equation becomes:

$$x^{2}-2a_{0}x + N(x) = (a_{0} + \sum_{i=1}^{7} a_{i}e_{i})(a_{0} + \sum_{i=1}^{7} a_{i}e_{i}) - 2a_{0}x + N(x)$$

$$= a_{0}^{2} + 2a_{0}\sum_{i=1}^{7} a_{i}e_{i} + (\sum_{i=1}^{7} a_{i}e_{i})(\sum_{i=1}^{7} a_{i}e_{i}) - 2a_{0}(a_{0} + \sum_{i=1}^{7} a_{i}e_{i}) + \sum_{i=0}^{7} a_{i}^{2}$$

$$= a_{0}^{2} + \sum_{i=1}^{7} 2a_{0}a_{i}e_{i} - \sum_{i=1}^{7} a_{i}^{2} - 2a_{0}(a_{0} + \sum_{i=1}^{7} a_{i}e_{i}) + \sum_{i=0}^{7} a_{i}^{2}$$

$$= a_{0}^{2} + 2a_{0}\sum_{i=1}^{7} a_{i}e_{i} - \sum_{i=1}^{7} a_{i}^{2} - 2a_{0}^{2} - 2a_{0}\sum_{i=1}^{7} a_{i}e_{i} + a_{0}^{2} + \sum_{i=1}^{7} a_{i}^{2}$$

$$= 0$$

**Lemma 3.6.** Let  $x \in \mathbb{O}/\mathbb{Z}_p$ . If x is nilpotent, then N(x) = 0.

*Proof.* We follow the steps given in the proof for the quaternion case in [4]. If x is nilpotent, then  $x^k = 0$  for some k. From Lemma 3.5 above, we have:

$$\begin{aligned} x^2 - 2a_0 x + N(x) &= 0 \Rightarrow x(x - 2a_0) = -N(x) \\ \Rightarrow (x(x - 2a_0))^k &= (-N(x))^k \\ \Rightarrow x^k (x - 2a_0)^k &= (-N(x))^k \text{ (see Remark 3.7 below)} \\ \Rightarrow 0 &= (N(x))^k \\ \Rightarrow N(x) &= 0, \text{ because } \mathbb{Z}_{\mathbf{p}} \text{ is a field.} \end{aligned}$$

**Remark 3.7.** We discuss the statement  $(x(x-2a_0))^k = x^k(x-2a_0)^k$  in the proof in the Lemma 3.6 above: The statement is taken as obvious, without a proof, in [4] (in Lemma 2.1) for the quaternionic case  $\mathbb{H}/\mathbb{Z}_p$ , but it deserves a bit more explanation in our case here considering the non-commutativity and non-associativity of  $\mathbb{O}/\mathbb{Z}_p$ . As we mentioned in Sec.2,  $\mathbb{O}/\mathbb{Z}_p$  is an alternative algebra (and flexible). Therefore, it also satisfies the *Moufang Identities*, in particularly the identity (xy)(zx) = (x(yz))x. Given this, it is not hard to show the following:

**Proposition 3.8.** If A is an alternative algebra such that xy = yx,  $x, y \in A$ , then  $(xy)^k = x^k y^k$ .

*Proof.* We show this for k = 2 (the general case follows by iteration). Indeed:

$$(xy)^{2} = (xy)(xy) \stackrel{comm.}{=} (yx)(xy) \stackrel{Mouf.}{=} (y(xx))y$$

$$\stackrel{altern.}{=} ((yx)x)y$$

$$\stackrel{comm.}{=} ((xy)x)y$$

$$\stackrel{flex.}{=} (x(yx))y$$

$$\stackrel{comm.}{=} (x(xy))y$$

$$\stackrel{altern.}{=} (x(xy))y$$

$$\stackrel{altern.}{=} ((xx)y)y$$

$$\stackrel{Mouf.}{=} (xx)(yy)$$

Hence, the statement  $(x(x-2a_0))^k = x^k(x-2a_0)^k$  is also true in our particular case here, because  $\mathbb{O}/\mathbb{Z}_p$  is alternative (and flexible) and  $x(x-2a_0) = (x-2a_0)x$ . It is also clear now why the statement is easy to prove in  $\mathbb{H}/\mathbb{Z}_p$ , considering that  $\mathbb{H}/\mathbb{Z}_p$  is actually associative. Finally, given the above result, one could also obtain the binomial formula  $(x+y)^k = \sum_{j=0}^k \binom{k}{j} x^j y^{k-j}$ , which could also be used to prove the statement in question. That is:

$$(x(x-2a_0))^k = (x^2 - 2a_0x)^k = \sum_{j=0}^k \binom{k}{j} (x^2)^j (-2a_0x)^{k-j}$$
$$= x^k \sum_{j=0}^k \binom{k}{j} x^j (-2a_0)^{k-j}$$
$$= x^k (x-2a_0)^k$$

**Theorem 3.9.** Let  $x \in \mathbb{O}/\mathbb{Z}_p$ . Then x is nilpotent if and only if x is purely imaginary and N(x) = 0. Furthermore, if x is nilpotent, then  $x^2 = 0$ .

*Proof.* If x is nilpotent, then  $x^k = 0$  for some k > 1 (where k is the least such natural number). From Lemma 3.6 above, we have that N(x) = 0. Combining Lemmas 3.5 and 3.6, we get  $x^2 = 2a_0x$ . Following the steps given in the proof for the quaternion case in [4], we have:

If k is even: 
$$x^2 = 2a_0 x \Rightarrow (x^2)^{k/2} = (2a_0)^{k/2} x^{k/2}$$
  
 $\Rightarrow x^k = (2a_0)^{k/2} x^{k/2}$   
 $\Rightarrow 0 = (2a_0)^{k/2} x^{k/2}$   
 $\Rightarrow a_0 = 0$   
If k is odd:  $x^2 = 2a_0 x \Rightarrow (x^2)^{(k+1)/2} = (2a_0)^{(k+1)/2} x^{(k+1)/2}$   
 $\Rightarrow (x)^{(k+1)/2} = (2a_0)^{(k+1)/2} x^{(k+1)/2}$ 

$$\Rightarrow (x)^{(k+1)/2} = (2a_0)^{(k+1)/2} x^{(k+1)/2}$$
$$\Rightarrow 0 = (2a_0)^{(k+1)/2} x^{k/2}$$
$$\Rightarrow a_0 = 0$$

Hence,  $a_0 = 0$  and therefore x is imaginary. Furthermore, since  $a_0 = 0$ , from  $x^2 = 2a_0x$  we have that  $x^2 = 0$ . For the converse, since N(x) = 0, Lemma 3.5 gives  $x^2 = 2a_0x$ . Since also x is imaginary  $(a_0 = 0)$  the equation  $x^2 = 2a_0x$  gives  $x^2 = 0$ . Then for any k > 1 we have:  $x^k = x^{k-2}x^2 = x^{k-2} \cdot 0 = 0$ , so x is nilpotent.

**Example 3.10.** Consider  $x = 4e_1 + e_2 + 3e_3 + 4e_5$  in  $\mathbb{O}/\mathbb{Z}_7$ . Then x is nilpotent. Notice that  $N(x) = 0^2 + 4^2 + 1^2 + 3^2 + 0^2 + 4^2 + 0^2 + 0^2 = 0 \pmod{7}$ .

### 4. Connection to general rings and applications

There is a lot in the literature on idempotents, nilpotents and k-potents in general, in more general rings R. It would be interesting to see if and how some of these results relate to the 'special', in a sense, ring  $\mathbb{O}/\mathbb{Z}_p$ .

In [16], Hirano and Tominaga proved that in a ring R the following are equivalent: (i) Every element of R is a sum of two commuting idempotents; (ii) R is commutative and every element of R is a sum of two idempotents; (iii)  $x^3 = x$ , for all x in R.<sup>8</sup> As  $\mathbb{O}/\mathbb{Z}_p$  is not commutative, the above fails. For example, consider the idempotents  $a = 3 + e_1$  and  $b = 3 + e_2$  in  $\mathbb{O}/\mathbb{Z}_5$ . Then,

$$x = a + b = (3 + e_1) + (3 + e_2) = 6 + e_1 + e_2 = 1 + e_1 + e_2$$

but x is not tripotent (indeed,  $(1 + e_1 + e_2)^3 = e_1 + e_2 \neq 1 + e_1 + e_2$ ). The above fails even when the idempotents commute. Take, for example,  $a = b = 3 + e_1$  in  $\mathbb{O}/\mathbb{Z}_5$ .

Also, Mosic in [21] gives the relation between idempotent and tripotent elements in any associative ring R, generalizing the result on matrices by Trenkler and Baksalary [27]. Namely, for any  $x \in R$ , where 2, 3 are invertible, x is idempotent if and only if x is tripotent and 1 - x is tripotent or 1 + x is invertible. Notice that even though  $\mathbb{O}/\mathbb{Z}_p$  is not associative, the result does hold in some cases. Take for example the tripotent  $x = 4 + 3e_1 + e_2 + 4e_3$  in  $\mathbb{O}/\mathbb{Z}_7$ , which is also an idempotent. It is not hard to check that directly or using the conditions for idempotency in Theorem 3.1 above. Notice also that 1 - x is tripotent and 1 + x is invertible as  $N(x) = 2 \neq 0$ . So, we conjecture that Mosic's result may extend to (some) non-associative rings.

Finally, it is interesting to note any possible applications of rings related to the ring  $\mathbb{O}/\mathbb{Z}_p$ . Malekian and Zakerolhosseini in [19] use octonionic algebras to construct a high speed public key cryptosystem. More specifically, they consider the convolution polynomial rings  $R = \mathbb{Z}[x]/(x^N - 1)$ ,  $R_p = \mathbb{Z}_p[x]/(x^N - 1)$  and  $R_q = \mathbb{Z}_q[x]/(x^N - 1)$ , where p, q are primes such as  $q \gg p$ . From these they construct the octonionic algebras:

$$\mathbb{A} = \left\{ a_0(x) + \sum_{i=1}^7 a_i(x)e_i \mid a_i(x) \in R \right\},\$$
$$\mathbb{A}_p = \left\{ a_0(x) + \sum_{i=1}^7 a_i(x)e_i \mid a_i(x) \in R_p \right\},\$$
$$\mathbb{A}_q = \left\{ a_0(x) + \sum_{i=1}^7 a_i(x)e_i \mid a_i(x) \in R_q \right\},\$$

respectively. Then, the public (and private) key is generated as follows: initially two small octonions  $F \in \mathbb{L}_f$  and  $G \in \mathbb{L}_g$ , where  $\mathbb{L}_f, \mathbb{L}_g$  are some specifically constructed subspaces of  $\mathbb{A}$ , are randomly generated. Namely,

$$F = f_0 + \sum_{i=1}^{7} f_i e_i \mid f_i \in \mathbb{L}_f,$$
  
$$G = g_0 + \sum_{i=1}^{7} g_i e_i \mid g_i \in \mathbb{L}_g.$$

The octonion F must be invertible in  $\mathbb{A}_p$  and  $\mathbb{A}_q$ , otherwise a new octionion F is generated. The inverses of F in  $\mathbb{A}_p$  and  $\mathbb{A}_q$  are denoted by in  $F_p^{-1}$  and  $F_q^{-1}$ , respectively. The public key, which is an octonion, is then given by  $H = F_p^{-1} \circ G \in \mathbb{A}_q$ , where o is a multiplication defined on  $\mathbb{A}_q$ , in terms of the convolution product. Encryption and decryption are done with similar calculations.

# Notes

1.  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathbb{H}$  and  $\mathbb{O}$  are the only normed division algebras. This was proved by Hurwitz in 1898 [17].

2. "+" and "." on  $\mathbb H$  are defined in [14, p. 124]. As  $p=0({\rm mod}\,p)$  on  $\mathbb H/\mathbb Z_p$  they are defined as follows:

$$\begin{aligned} x+y &= (a_0+a_1i+a_2j+a_3k) + (b_0+b_1i+b_2j+b_3k) \\ &= (a_0+b_0) + (a_1+b_1)i + (a_2+b_2)j + (a_3+b_3)k \\ x\cdot y &= (a_0+a_1i+a_2j+a_3k) \cdot (b_0+b_1i+b_2j+b_3k) \\ &= a_0b_0 + (p-1)a_1b_1 + (p-1)a_2b_2 + (p-1)a_3b_3 + \\ &(a_0b_0+a_1b_0+a_2b_3+(p-1)a_3b_2)j + \\ &(a_0b_2+(p-1)a_1b_3+a_2b_0+a_3b_1)j + \\ &(a_0b_3+a_1b_2+(p-1)a_2b_1+a_3b_0)k \end{aligned}$$

3. Fano Plane (Figure 1); Multiplication table (Figure 2); Program in Maple (Figure 3):



Figure 1. Fano Plane

$e_i e_j$	$e_0$	$e_1$	$e_2$	$e_3$	$e_4$	$e_5$	$e_6$	$e_7$
$e_0$	$e_0$	$e_1$	$e_2$	$e_3$	$e_4$	$e_5$	$e_6$	$e_7$
$e_1$	$e_1$	$-e_0$	$e_3$	$-e_2$	$e_5$	$-e_4$	$-e_7$	$e_6$
$e_2$	$e_2$	$-e_3$	$-e_0$	$e_1$	$e_6$	$e_7$	$-e_4$	$-e_5$
$e_3$	$e_3$	$e_2$	$-e_1$	$-e_0$	$e_7$	$-e_6$	$e_5$	$-e_4$
$e_4$	$e_4$	$-e_5$	$-e_6$	$-e_7$	$-e_0$	$e_1$	$e_2$	$e_3$
$e_5$	$e_5$	$e_4$	$-e_7$	$e_6$	$-e_1$	$-e_0$	$-e_3$	$e_2$
$e_6$	$e_6$	$e_7$	$e_4$	$-e_5$	$-e_2$	$e_3$	$-e_0$	$-e_1$
$e_7$	$e_7$	$-e_6$	$e_5$	$e_4$	$-e_3$	$-e_2$	$e_1$	$-e_0$

Figure 2. Multiplication table

```
> HypercomplexLib :=`C:\\Hypercomplex.\Hypercomplex.mla`;

> libname:=HypercomplexLib,libname; ### now Maple will find the lib

HypercomplexLib:=C:\Hypercomplex.Maple 18\lib", "." (1)

> with(Hypercomplex):

> setHypercomplex(octonion):

> i2·i7 -i5 (2)

> (2 i2 - i3) \cdot (i4 + 3 i5) \mod 5;

0 (3)

> (4 + i1 + 3 i3 + 4 i5) \cdot (4 + i1 + 3 i3 + 4 i5) \mod 7;

4 + i1 + 3 i3 + 4 i5 (4)

> (4 i1 + i2 + 3 i3 + 4 i5) \cdot (4 i1 + i2 + 3 i3 + 4 i5) \mod 7;

0 (5)
```

## Figure 3. Maple program

4. Accordingly, the following hold: (xx)y = x(xy) (alternative), x(yx) = (xy)x (flexible),  $\langle x \rangle$  is power associative for all x.

5. These representations are given in [11] without a proof. The proof for  $\mathbb{O}/\mathbb{Z}_p$  is actually straightforward, following the exact steps in the proofs of Theorems 2.1 and 2.3 in [26] for the case of  $\mathbb{O}$ .

6. In Herstein [14, p. 130], we have as an exercise that: In a ring R, if  $x^2 = x$ , for all x in R, then R is commutative. It is not hard to show that the converse is not true. (e.g.  $\mathbb{F} = \mathbb{Z}_3$ , 2 is not idempotent). Actually, a field  $\mathbb{F}$  has only trivial idempotents. Hence, in  $\mathbb{H}/\mathbb{Z}_p$  some elements are non-trivial idempotents and they were described in [3].

7. Namely, the seven quaternionic subalgebras of  $\mathbb{O}$  each generated by the seven "line" (including the circle) in the Fano Plane.

8. A ring R is called a *tripotent ring* if  $x^3 = x$ , for all x in R. The fact that a tripotent ring is commutative is found as an exercise in Hernstein [14, p. 136]. Several proofs of this fact have been given since the 60's [5]. In Bourbaki, we find it also as an exercise with guided steps/hints for the proof [7, p. 176]. See also [23]. Interestingly, a more general result by Jacobson was already known in the 40's [18]. Namely, if in a ring R there exists an integer n > 1 such that  $x^n = x$ , for every x in R, then R is commutative. For a proof of Jacobson's Theorem see [5], [15].

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12

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14