

# Notes on the norm of pre-Schwarzian derivatives of certain analytic functions

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**Abstract.** In this paper, we obtain sharp bounds for the norm of pre-Schwarzian derivatives of certain analytic functions. Initially this problem was handled by H. Rahmatan, Sh. Najafzadeh and A. Ebadian [Stud. Univ. Babeş-Bolyai Math. **61**(2016), no. 2, 155-162]. We pointed out that their proofs are incorrect and present correct proofs.

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## 1. Introduction

Let  $\Delta = \{z \in \mathbb{C} : |z| < 1\}$  be the open unit disc on the complex plane  $\mathbb{C}$ . Let  $\mathcal{H}$  be the family of all analytic functions and  $\mathcal{A} \subset \mathcal{H}$  be the family of all normalized functions in  $\Delta$ . We denote by  $\mathcal{U}$  the class of all univalent functions in  $\Delta$  and denote by  $\mathcal{LU} \subset \mathcal{H}$  the class of all locally univalent functions in  $\Delta$ . For a  $f \in \mathcal{LU}$ , we consider the following norm

$$\|f\| = \sup_{z \in \Delta} (1 - |z|^2) \left| \frac{f''(z)}{f'(z)} \right|,$$

where the quantity  $f''/f'$  is often referred to as pre-Schwarzian derivative of  $f$  such that in the theory of Teichmüller spaces is considered as element of complex Banach spaces. We remark that  $\|f\| < \infty$  if, and only if,  $f$  is uniformly locally univalent in  $\Delta$ . We also notice that,  $\|f\| \leq 6$  if  $f$  is univalent in  $\Delta$  and, conversely,  $f$  is univalent in  $\Delta$  if  $\|f\| \leq 1$ . Both of these bounds are sharp, see [1]. For more geometric properties of the function  $f$  relating the norm, see [2, 4, 9] and the references therein.

We say that a function  $f$  is subordinate to  $g$ , written by  $f(z) \prec g(z)$  or  $f \prec g$  where  $f$  and  $g$  belonging to the class  $\mathcal{A}$ , if there exists a Schwarz function  $w(z)$  is analytic in  $\Delta$  with

$$w(0) = 0 \quad \text{and} \quad |w(z)| < 1 \quad (z \in \Delta),$$

such that  $f(z) = g(w(z))$  for all  $z \in \Delta$ .

Here are two certain subclasses of analytic and normalized functions  $\mathcal{A}$  functions defined. First, we say that a function  $f \in \mathcal{A}$  belongs to the class  $\mathcal{S}(\alpha, \beta)$  if it satisfies the following two-sided inequality

$$\alpha < \operatorname{Re} \left\{ \frac{zf'(z)}{f(z)} \right\} < \beta \quad (z \in \Delta),$$

where  $0 \leq \alpha < 1$  and  $\beta > 1$ . The class  $\mathcal{S}(\alpha, \beta)$  was introduced by Kuroki and Owa (cf. [7]) and generalized by Kargar et al. [6]. We also say that a function  $f \in \mathcal{A}$  belongs to the class  $\mathcal{V}(\alpha, \beta)$  if

$$\alpha < \operatorname{Re} \left\{ \left( \frac{z}{f(z)} \right)^2 f'(z) \right\} < \beta \quad (z \in \Delta).$$

The class  $\mathcal{V}(\alpha, \beta)$  was first introduced by Kargar et al., see [5]. Since the convex univalent function

$$P_{\alpha, \beta}(z) = 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}z}{1 - z} \right) \quad (z \in \Delta), \tag{1.1}$$

where

$$\phi := \frac{2\pi(1 - \alpha)}{\beta - \alpha}, \tag{1.2}$$

maps  $\Delta$  onto the domain  $\Omega = \{\omega : \alpha < \operatorname{Re}\{\omega\} < \beta\}$  conformally, thus we have.

**Lemma 1.1.** ([7, Lemma 1.3]) *Let  $\alpha \in [0, 1)$  and  $\beta \in (1, \infty)$ . Then  $f \in \mathcal{S}(\alpha, \beta)$  if, and only if,*

$$\frac{zf'(z)}{f(z)} < 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}z}{1 - z} \right) \quad (z \in \Delta),$$

where  $\phi$  is defined in (1.2).

**Lemma 1.2.** ([5, Lemma 1.1]) *Let  $\alpha \in [0, 1)$  and  $\beta \in (1, \infty)$ . Then  $f \in \mathcal{V}(\alpha, \beta)$  if, and only if,*

$$\left( \frac{z}{f(z)} \right)^2 f'(z) < 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}z}{1 - z} \right) \quad (z \in \Delta),$$

where  $\phi$  is defined in (1.2).

Rahmatan, Najafzadeh and Ebadian (see [10]) estimated the norm of pre-Schwarzian derivatives of the function  $f$  where  $f$  belongs to the classes  $\mathcal{S}(\alpha, \beta)$  and  $\mathcal{V}(\alpha, \beta)$ . Both their estimates and proofs are incorrect. Indeed, the results that were wrongly proven by them are as follows:

**Theorem A.** *For  $0 \leq \alpha < 1 < \beta$ , if  $f \in \mathcal{S}(\alpha, \beta)$ , then*

$$\|f\| \leq \frac{2(\beta - \alpha)}{\pi} \left( 1 - e^{2\pi i \frac{1-\alpha}{\beta-\alpha}} \right).$$

**Theorem B.** *For  $0 \leq \alpha < 1 < \beta$ , if  $f \in \mathcal{V}(\alpha, \beta)$ , then*

$$\|f\| \leq \frac{3(\beta - \alpha)}{\pi} \left( 1 - e^{2\pi i \frac{1-\alpha}{\beta-\alpha}} \right).$$

We first note that both the above bounds are complex numbers!

In this paper we give the best estimate for  $\|f\|$  when  $f \in \mathcal{S}(\alpha, \beta)$  and disprove the Theorem B. However, we show that  $\|f\| < \infty$  when  $f \in \mathcal{V}(\alpha, \beta)$ .

## 2. Main results

The correct version of Theorem A is as follows.

**Theorem 2.1.** *Let  $\alpha \in [0, 1)$  and  $\beta \in (1, \infty)$ . If a function  $f$  belongs to the class  $\mathcal{S}(\alpha, \beta)$ , then*

$$\|f\| \leq \frac{2(\beta - \alpha)}{\pi} \sqrt{4 \sin^2(\phi/2) + 2\pi^2} - \frac{4 \sin(\phi/2)}{\sqrt{4 \sin^2(\phi/2) + 2\pi^2}}, \tag{2.1}$$

where  $\phi$  is defined in (1.2). The result is sharp.

*Proof.* Let that  $\alpha \in [0, 1)$ ,  $\beta \in (1, \infty)$  and  $\phi$  be given by (1.2). If  $f \in \mathcal{S}(\alpha, \beta)$ , by Lemma 1.1, then we have

$$\frac{zf'(z)}{f(z)} \prec 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}z}{1 - z} \right) \quad (z \in \Delta). \tag{2.2}$$

The above subordination relation (2.2) implies that

$$\frac{zf'(z)}{f(z)} = 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \quad (z \in \Delta),$$

or equivalently

$$\log \left\{ \frac{zf'(z)}{f(z)} \right\} = \log \left\{ 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right\} \quad (z \in \Delta), \tag{2.3}$$

where  $w(z)$  is the well-known Schwarz function. From (2.3), differentiating on both sides, after simplification, we obtain

$$\begin{aligned} \frac{f''(z)}{f'(z)} &= \frac{(\beta - \alpha)i}{\pi} \left[ \frac{1}{z} \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right. \\ &\quad \left. + \frac{(1 - e^{i\phi})w'(z)}{(1 - w(z))(1 - e^{i\phi}w(z)) \left( 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right)} \right]. \end{aligned} \tag{2.4}$$

It is well-known that  $|w(z)| \leq |z|$  (cf. [3]) and also by the Schwarz-Pick lemma, for a Schwarz function the following inequality

$$|w'(z)| \leq \frac{1 - |w(z)|^2}{1 - |z|^2} \quad (z \in \Delta), \tag{2.5}$$

holds (see [8]). We also know that if  $\log$  is the principal branch of the complex logarithm, then we have

$$\log z = \ln |z| + i \arg z \quad (z \in \Delta \setminus \{0\}, -\pi < \arg z \leq \pi). \tag{2.6}$$

Therefore, by the above equation (2.6), it is well-known that if  $|z| \geq 1$ , then

$$|\log z| \leq \sqrt{|z - 1|^2 + \pi^2}, \tag{2.7}$$

while for  $0 < |z| < 1$ , we have

$$|\log z| \leq \sqrt{\left| \frac{z-1}{z} \right|^2 + \pi^2}. \tag{2.8}$$

Thus, it is natural to distinguish the following cases.

**Case 1.**  $\left| \frac{1-e^{i\phi}w(z)}{1-w(z)} \right| \geq 1$ .

By (2.7), we have

$$\begin{aligned} \left| \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right| &\leq \sqrt{\left| \frac{1 - e^{i\phi}w(z)}{1 - w(z)} - 1 \right|^2 + \pi^2} \\ &= \frac{\sqrt{|1 - e^{i\phi}|^2|w(z)|^2 + \pi^2|1 - w(z)|^2}}{|1 - w(z)|} \\ &\leq \frac{\sqrt{4 \sin^2(\phi/2)|w(z)|^2 + \pi^2(1 + |w(z)|^2)}}{1 - |w(z)|} \\ &\leq \frac{\sqrt{4 \sin^2(\phi/2)|z|^2 + \pi^2(1 + |z|^2)}}{1 - |z|} \end{aligned} \tag{2.9}$$

for all  $z \in \Delta$ . We note that the above inequality is well defined also for  $z = 0$ . Thus from (2.4), (2.5) and (2.9), we get

$$\begin{aligned} &\left| \frac{f''(z)}{f'(z)} \right| \\ &= \left| \frac{(\beta - \alpha)i}{\pi} \left[ \frac{1}{z} \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right. \right. \\ &\quad \left. \left. + \frac{(1 - e^{i\phi})w'(z)}{(1 - w(z))(1 - e^{i\phi}w(z)) \left( 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right)} \right] \right| \\ &\leq \frac{(\beta - \alpha)}{\pi} \left[ \frac{1}{|z|} \left| \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right| \right. \\ &\quad \left. + \frac{|1 - e^{i\phi}| |w'(z)|}{|1 - w(z)| |1 - e^{i\phi}w(z)| \left( 1 - \frac{(\beta - \alpha)}{\pi} \left| \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right| \right)} \right] \\ &\leq \frac{(\beta - \alpha)}{\pi} \left[ \frac{1}{|z|} \left\{ \frac{\sqrt{4 \sin^2(\phi/2)|z|^2 + \pi^2(1 + |z|^2)}}{1 - |z|} \right\} \right. \\ &\quad \left. + \frac{2 \sin(\phi/2)}{1 - |z| - \frac{(\beta - \alpha)}{\pi} \sqrt{4 \sin^2(\phi/2)|z|^2 + \pi^2(1 + |z|^2)}} \cdot \frac{1 + |z|}{1 - |z|^2} \right]. \end{aligned}$$

However, we obtain

$$\begin{aligned}
 \|f\| &= \sup_{z \in \Delta} (1 - |z|^2) \left| \frac{f''(z)}{f'(z)} \right| \\
 &\leq \sup_{z \in \Delta} \left\{ \frac{(\beta - \alpha)}{\pi} \left[ \frac{1 + |z|}{|z|} \sqrt{4 \sin^2(\phi/2) |z|^2 + \pi^2 (1 + |z|^2)} \right. \right. \\
 &\quad \left. \left. + \frac{2 \sin(\phi/2) (1 + |z|)}{1 - |z| - \frac{(\beta - \alpha)}{\pi} \sqrt{4 \sin^2(\phi/2) |z|^2 + \pi^2 (1 + |z|^2)}} \right] \right\} \\
 &= \frac{2(\beta - \alpha)}{\pi} \sqrt{4 \sin^2(\phi/2) + 2\pi^2} - \frac{4 \sin(\phi/2)}{\sqrt{4 \sin^2(\phi/2) + 2\pi^2}}
 \end{aligned}$$

concluding the inequality (2.1).

**Case 2.**  $\left| \frac{1 - e^{i\phi} w(z)}{1 - w(z)} \right| < 1$ .

By (2.8), we have

$$\begin{aligned}
 \left| \log \left( \frac{1 - e^{i\phi} w(z)}{1 - w(z)} \right) \right| &\leq \sqrt{\left| \frac{1 - e^{i\phi} w(z)}{1 - w(z)} - 1 \right|^2 + \pi^2} \\
 &= \frac{\sqrt{|1 - e^{i\phi}|^2 |w(z)|^2 + \pi^2 |1 - e^{i\phi} w(z)|^2}}{|1 - e^{i\phi} w(z)|} \\
 &\leq \frac{\sqrt{4 \sin^2(\phi/2) |w(z)|^2 + \pi^2 (1 + |w(z)|^2)}}{1 - |w(z)|} \quad (|e^{i\phi}| = 1) \\
 &\leq \frac{\sqrt{4 \sin^2(\phi/2) |z|^2 + \pi^2 (1 + |z|^2)}}{1 - |z|}.
 \end{aligned}$$

Since in both cases 1 and 2 we have the equal estimates for

$$\left| \log \left( \frac{1 - e^{i\phi} w(z)}{1 - w(z)} \right) \right|,$$

therefore, in this case also, the desired result will be achieved. For the sharpness, consider the function  $f_{\alpha, \beta}(z)$  as follows

$$\begin{aligned}
 f_{\alpha, \beta}(z) &= z \exp \left\{ \frac{(\beta - \alpha)i}{\pi} \int_0^z \frac{1}{\xi} \log \left( \frac{1 - e^{i\phi} \xi}{1 - \xi} \right) d\xi \right\} \\
 &= z + \frac{(\beta - \alpha)i}{\pi} (1 - e^{i\phi}) z^2 + \dots,
 \end{aligned}$$

where  $\phi$  is defined in (1.2),  $0 \leq \alpha < 1$  and  $\beta > 1$ . A simple calculation, gives us

$$\frac{z f'_{\alpha, \beta}(z)}{f_{\alpha, \beta}(z)} = 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi} z}{1 - z} \right) \quad (z \in \Delta)$$

and thus  $f_{\alpha,\beta}(z) \in \mathcal{S}(\alpha, \beta)$ . With the same proof as above we get the desired result. The result also is sharp for a rotation of the function  $f_{\alpha,\beta}(z)$  as follows:

$$f_{\alpha,\beta}(z) = z \exp \left\{ \frac{(\beta - \alpha)i}{\pi} \int_0^z \frac{1}{\xi} \log \left( \frac{1 - e^{i\phi}\xi}{1 - e^{-i\phi}\xi} \right) d\xi \right\}.$$

This is the end of proof. □

**Remark 2.2.** In Theorem B, the authors of [10] estimated the norm  $\|f\|$  when  $f \in \mathcal{V}(\alpha, \beta)$ . But in the proof of this theorem [10, p. 160], wrongly, they used from the following equation

$$\frac{zf'(z)}{f(z)} = P_{\alpha,\beta}(w(z)),$$

where  $P_{\alpha,\beta}$  is defined in (1.1). This means that  $f$ , simultaneously, belonging to the class  $\mathcal{S}(\alpha, \beta)$  and  $\mathcal{V}(\alpha, \beta)$ .

Next, we show that the best estimate for  $\|f\|$  when  $f \in \mathcal{V}(\alpha, \beta)$  does not exist.

**Theorem 2.3.** *Let  $\alpha \in [0, 1)$  and  $\beta \in (1, \infty)$ . If a function  $f$  belongs to the class  $\mathcal{V}(\alpha, \beta)$ , then  $\|f\| < \infty$ .*

*Proof.* Let  $\alpha \in [0, 1)$  and  $\beta \in (1, \infty)$  and  $f \in \mathcal{V}(\alpha, \beta)$ . Then by Lemma 1.2 and by use of definition of subordination, we have

$$\left( \frac{z}{f(z)} \right)^2 f'(z) = P_{\alpha,\beta}(w(z)) = 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right), \tag{2.10}$$

where  $w$  is Schwarz function and  $\phi$  is defined in (1.2). Taking logarithm on both sides of (2.10) and differentiating, we get

$$\begin{aligned} \frac{f''(z)}{f'(z)} &= 2 \left( \frac{f'(z)}{f(z)} - \frac{1}{z} \right) + \frac{(\beta - \alpha)i}{\pi} \\ &\times \left[ \frac{(1 - e^{i\phi})w'(z)}{(1 - w(z))(1 - e^{i\phi}w(z)) \left( 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right)} \right]. \end{aligned} \tag{2.11}$$

With a simple calculation, (2.10) implies that

$$\left( \frac{f'(z)}{f(z)} - \frac{1}{z} \right) = \frac{f(z)}{z} \left( \frac{P_{\alpha,\beta}(w(z))}{z} - 1 \right). \tag{2.12}$$

Combining (2.11) and (2.12), give us

$$\begin{aligned} \frac{f''(z)}{f'(z)} &= 2 \left( \frac{f(z)}{z} \left( \frac{P_{\alpha,\beta}(w(z))}{z} - 1 \right) \right) \\ &+ \frac{(\beta - \alpha)i}{\pi} \left[ \frac{(1 - e^{i\phi})w'(z)}{(1 - w(z))(1 - e^{i\phi}w(z)) \left( 1 + \frac{(\beta - \alpha)i}{\pi} \log \left( \frac{1 - e^{i\phi}w(z)}{1 - w(z)} \right) \right)} \right] \end{aligned}$$

It was proved in ([5, Theorem 2.2]) that if  $f \in \mathcal{V}(\alpha, \beta)$  where  $0 < \alpha \leq 1/2$  and  $\beta > 1$ , then

$$1 - \frac{1}{\alpha} < \operatorname{Re} \left\{ \frac{f(z)}{z} \right\} < \infty \quad (z \in \Delta).$$

Since  $\operatorname{Re}\{z\} \leq |z|$ , the last two-sided inequality means that  $|f(z)/z| < \infty$  when  $f \in \mathcal{V}(\alpha, \beta)$ . Thus from the above we deduce that

$$\left| \frac{f''(z)}{f'(z)} \right| < \infty \quad (z \in \Delta)$$

concluding the proof.  $\square$

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