# HEATS OF FORMATION OF MEDIUM-RING STRAINED CYCLO-AND POLYCYCLOALKANES: COMPARISON OF AB INITIO GROUP EQUIVALENT SCHEMES WITH THE PM3 AND MMX METHODS

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ABSTRACT. Optimized structures and energies were calculated for 57 small- and medium-ring strained polycyclic aliphatic hydrocarbons using ab initio HF/3-21G, HF/6-31G\* and HF/6-31G\*\* as well as P (semiempirical) and MMX (force field) methods. Best fit CH2, CH and C group increments relating ab initio total energies to heats of formation were derived from the experimentally known  $\Delta H_{f}$ . The ab initio increments deviate little from those previously reported by Wiberg and by Ibrahim and Schleyer, yielding the expected conclusion that the intrinsically isodesmic group increment approach extends efficiently to small- and medium-ring strained systems. For the present data set, the standard deviation between experimental and calculated heats of formation, from ab initio total energies and group increments, is 1.81 kcal/mol for the RHF/6-31G\*//RHF/6-31G\* calculation, and 1.74 kcal/mol for RHF/6-31G\*\*//RHF/6-31G\*\*, respectively. Less successful results are obtained from the HF/3-21G, PM3 and MMX data. As expected, systems with fused small rings are especially problematic for the latter methods.

### INTRODUCTION

In the course of a study of hybridization and <sup>13</sup>C-<sup>1</sup>H NMR coupling constants, we recently obtained RHF/6-31G\*//RHF/6-31G\* wavefunctions and ab initio total energies for a large number of small-and medium-ring strained polycyclic hydrocarbons. Roughly half of this number have had experimental heats of formation reported. It was of interest to examine the performance of the Wiberg<sup>2</sup> and Ibrahim/Schleyer<sup>3</sup> (IS) hydrocarbon group increments in calculating heats of formation from ab initio energies for these compounds, as most previous work has focused on unstrained or small-ring systems. This

paper provides such an analysis for 57 hydrocarbons, of which several were beyond the range of practical computational tools when the above papers appeared. The new best fits for the  $CH_2$ , CH and C fragments deviate little from those previously reported, yielding the expected conclusion that the intrinsically isodesmic group increment approach extends effectively to medium-ring strained systems.

#### **RESULTS AND DISCUSSION**

The heat of formation of a compound is a useful characteristic, traditionally determined from combustion measurements. However, the accumulation of computational data at a consistent level for a wide variety of molecules and their correlation with experimental results allow an evaluation of their heats of formation from ab initio energies, as well. The ability to produce reliable estimates depends upon the computational method that is chosen. Molecular mechanics (MM) or semiempirical methods are not as generally useful, since the former method needs good experimental data, not always available, for parametrization, while the latter approximates minimal basis-set calculations which frequently handle strained small-ring compounds unevenly.

Conversion of ab initio calculated energies to heats of formation is commonly done by the use of isodesmic comparisons with closely related compounds of known thermochemistry, such that errors due to inadequacies of basis set or electron correlation treatment largely cancel out. An isodesmic reaction is defined as a hypothetical thermoneutral process in which the number of formal bonds of each type is identical on both sides of the reaction. By appropriately choosing the components of the isodesmic reaction, the unknown heat of formation can be calculated from the corresponding energy differences. The absolute errors are likely to be comparable and can be assumed to cancel in calculation of the energy differences between related molecules. Isodesmic comparisons can provide a test of the reliability of the computational method by comparing the computed results with known experimental values.

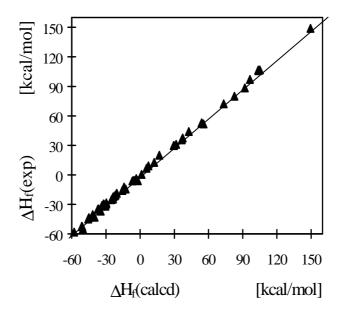
The heat of formation of a compound may be estimated from tabulated data pertaining to individual structural fragments. Procedures have been developed for estimating thermodynamic characteristics by summing the contributions of the constituent groups. As was pointed out by Wiberg², such group equivalent schemes can be viewed as a subset of isodesmic reactions in which the substitution levels of all C sites are maintained constant. Thus, Wiberg² and subsequently, Ibrahim and Schleyer³, empirically determined sets of group and atom equivalents, which, when subtracted from a compound's ab initio energy, yield its heat of formation,  $\Delta H_{\rm f}({\rm calcd})$ . Accordingly,  $\Delta H_{\rm f}({\rm calcd})$  is expressed as the difference between the molecule's total energy and the summed increments of the component groups, as shown by the following relation:

$$\Delta H_f \text{ (calcd)} = 627.5 \left( E_T - \sum_i n_i E_i \right)$$
 (1)

where  $E_T$  is the ab initio total energy, n represents the number of atoms or groups of each sort, and E is the corresponding atom or group equivalent. Following these reports, simplified schemes with reduced number of parameters have been proposed, and individualized atom or group parameters were developed for particular classes of compounds. Bond/group equivalents have also been derived for alkanes from density functional calculations. In a series of recent articles, Allinger  $et\ al.^{10}$  outlined an alternative method which combines bond energy with group increments, while it includes terms to explicitly account for statistical mechanical effects of populating a molecule's higher energy conformations and low-lying vibrational states, as well as its translational and rotational motions.

The present study confirms that group equivalent-based heats of formation can be calculated with an accuracy close to that from experiments (see Table 1 and Figure 1). The wide variety of small- and medium-ring strained hydrocarbons used in this work provide a stringent test of the method. Heats of formation may also be estimated for species where experimental values are not available or difficult to obtain. In addition, strain energies have been calculated for all the compounds recorded in Table 1.<sup>11</sup> The experimental heats of formation,  $\Delta H_f(exp)$ , and calculated RHF/3-21G<sup>12</sup>, RHF/6-31G\*<sup>13</sup> and RHF/6-31G\*\*<sup>13</sup> ab initio total energies for the compounds considered in this study are listed in Table 1. All quantum-chemical calculations (ab initio and semiempirical) were carried out using the SPARTAN program (version 5.0, Wavefunction Inc., Irvine, CA) running on a SGI Indigo2 workstation and include full geometry optimization. Three gaussian basis sets were used in the ab initio calculations; the resulting enthalpies of formation become more accurate with the use of systematically improved basis sets and point to the necessity of inclusion of d-type functions (basis sets 6-31G\* and 6-31G\*\*) in the basis set for more accurate predictions. The calculated values refer only to the lowest energy conformation, although in several cases the compounds exist as a Boltzmann distribution of different conformational isomers with somewhat different energies.

A least squares fit<sup>14</sup> of experimental vs. calculated heats of formation using equation 1 with the increments for CH<sub>2</sub>, CH and C groups as adjustable parameters, yielded  $\Delta H_f$ (calcd) values at the 3-21G, 6-31G\* and 6-31G\*\* basis set levels as listed in Table 1, along with the group increments in Table 2. For a range of  $\Delta H_f$ (exp) from -60 to +150 kcal/mol, the standard deviation of experimental vs. calculated heats of formation is 1.81 kcal/mol for the RHF/6-31G\*//RHF/6-31G\* calculation and 1.74 kcal/mol for RHF/6-31G\*\*//RHF/6-31G\*\*, respectively, (see Table 2).



**Figure 1.** Plot of experimental heats of formation,  $\Delta H_f$  (exp), vs. calculated values,  $\Delta H_f$ (calcd), from the HF/6-31G\* group equivalents evaluated in this work, for the compounds in Table 1. Slope 1.00 was taken for the correlation

The thermochemical measurements recently reported for a variety of spirocyclopropanated cyclopropane and cyclobutane derivatives<sup>15</sup> allowed to establish unambiguously an equivalent for the quaternary carbon atom, which was not available from the work of Ibrahim and Schleyer<sup>3</sup>, while Wiberg's<sup>2a</sup> value for this parameter is based only on neopentane and spiropentane. The equivalents derived in this work for the CH<sub>2</sub>, CH and C fragments (see Table 2) are essentially unchanged from those previously reported, supporting the consensus that errors due to incompleteness in basis set correlation treatment, and vibrational contributions scale linearly with the numbers of each group. They are absorbed in the group parameters, to yield calculated heats of formation of accuracy comparable to experimental measurements. The

improved 6-31G\*\* basis set gives  $\Delta H_f(calcd)$  basically unchanged from the 6-31G\* values, which appears to be the minimal basis set necessary to appropriately describe systems incorporating small strained rings. The  $\Delta H_f(calcd)$  values derived for the 3-21G basis set show large errors especially in the case of cyclopropane derivatives, where the flexibility afforded by inclusion of polarization functions into the basis set is essential for a proper description of these compounds.

Analogous values for the semiempirical PM3 method<sup>16</sup> are included in Table 1 for comparison. In general, it can be stated that the ab initio calculations are more reliable whereas semiempirical calculations are faster in terms of computer time. The semiempirical PM3 method is claimed to reliably estimate energies, however, in this work the resulting semiempirical heats of formation show unacceptably large errors; the standard deviation for the best linear fit between PM3 calculated and experimental heats of formation for the compounds listed in Table 1 is 8.0 kcal/mol. Unlike ab initio methods where self-consistency is achieved by iterative procedures and complex calculations with fewer assumptions, the accuracy of empirical methods is limited to the accuracy of the data used in parameterization, whereby parameters are included in the protocol to

adjust the results to match experimental data. Semiempirical methods should be applied with care when employed in prediction of properties or compounds not used in the parameterization, where frequent comparison of calculated and experimental results is imperative.

The MMX method, derived from Allinger's  $^{17}$  MM2 force field, was also employed to compute heats of formation for the compounds included in Table 1. $^{18}$  Usually, MM reproduces well the thermodynamic properties of hydrocarbons; e.g., the new MM4 force field applied to 56 alkanes and cycloalkanes, excluding small rings, calculates  $\Delta H_f$  with a standard deviation of 0.4 kcal/mol vs. experimental values. $^{19}$  However, the MMX results in Table 1 show that although most compounds have MMX  $\Delta H_f$ (calcd) within experimental accuracy, in some cases there are large discrepancies between experiment and calculation (5 compounds in Table 1 have MMX  $\Delta H_f$ (calcd) in error vs.  $\Delta H_f$ (exp) with more than 10 kcal/mol). Thus, the performance of the MMX method (the best linear fit

### LILIANA CRĂCIUN, JAMES E. JACKSON

of  $\Delta H_f(exp)$  vs.  $\Delta H_f(calcd)$  gives a s.d. of 4.2 kcal/mol for the compounds listed in Table 1), although much better than that of PM3 or HF/3-21G models, is not entirely consistent, leaving the ab initio HF/6-31G\* or HF/6-31G\*\* group equivalent schemes as the most reliable when compared to experiment.

#### **CONCLUSIONS**

The estimates of the enthalpies of formation using the 6-31G\* or 6-31G\*\* basis sets are in fair agreement with experimental measurements. As expected, the equivalents at the unpolarized 3-21G basis set cannot be used safely for strained compounds since polarization functions are known to be needed to properly describe small ring carbocyclics. These calculations can be used when experimental results for heats of formation are unavailable, or as an independent check when an experimental result of a particular heat of formation is in question.

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Table 1

Experimental and Calculated Heats of Formational

_	ΔH(exp) <sup>e</sup> (SE) <sup>d</sup>	MMX AH¢	PM3 AH¢	HF3-21G Total Energy ∆H <sup>₽</sup>	HFÆ31G* Total Energy ∆H₽	HF/6-31G** Total Energy △H
	i					
2 3	3		4	5	9	7
12.7±0.2 13.4 16 (27.6)		=	16.3	-116.40121 20.1	-117.05887 12.3 (27.2)	-117.06906 11.5 (26.4)
0.9		n	-3.8	-155.23102 8.3	-156.09720 4.6 (24.4)	-156.10818 4.3 (24.1)
-18.7±0.2 -18.3 -23 (6.1)		-23	-23.9	-194.08847 -20.8	-195.16358 -20.6 (4.2)	-195.17887 -20.8 (4.0)
-29.5±0.1 -29.5 -31.0 (0.2)		3	0.	-232.91691 -31.7	-234.20801 -32.1 (-2.4)	-234.22625 -32.3 (-2.6)
-28.3±0.1 -28.2 -30.9 (6.4)		ဇု	6	-271.72211 -28.0	-273.23036 -29.7 (5.0)	-273.25163 -29.9 (4.8)
-29.7±0.2 -29.5 -34.3 (9.9)		\$	co.	-310.53228 -27.4	-312.25650 -29.7 (9.9)	-312.28080 -30.0 (9.6)
31.9±0.4° -31.9 -39.9 (12.7)		39	6	-349.34586 -29.0	-351.28388 -30.5 (14.1)	-351.31122 -30.8 (13.8)
36.9±0.4 <sup>†</sup> -37.2 47.4 (12.6)		47.4		-388.16237 -32.4	-390.31683 -34.8 (14.7)	-390.34727 -35.1 (14.4)
43.1±0.4° 43.9 -54.7 (11.4)		-54	_	426.98278 -38.2	429.35147 40.1 (14.4)	429.38337 -39.5 (15.0)
55.0±0.5 <sup>1</sup> -52.9 -63.2 (4.4)		-63.2	01	465.81188 49.6	468.39383 -50.3 (9.1)	468.43026 -50.6 (8.8)
44.2±0.2 44.0 43.1 (63.5)		43.		-192.82114 49.3	-193.91776 43.1 (62.4)	-193.93143 42.6 (61.9)
37.4±0.3 <sup>a</sup> 35.9 37.8 (56.1)		37.	8	-192.83986 46.7	-193.92697 37.3 (56.0)	-193.94020 36.8 (55.5)
31±1 36.7 36.1 (54.6)		36.	_	-231.65313 45.3	-232.96227 31.6 (92.7)	-232.97926 30.6 (92.2)
29.9±0.4 <sup>i</sup> 29.5 21.0 (54.2)		21.	0	-231.66019 31.8	-232.96504 29.8 (54.1)	-232.98124 29.6 (53.9)
29.8±0.4 <sup>h</sup> 26.8 12.1 (53.4)		12.1		-231.67441 32.0	-232.96555 29.5 (53.1)	-232.98119 29.3 (52.9)

Table 1 (continued)

	6.8	91.2	82.2 (98.6)	36.0	16.5	73.8 (97.6)	(29.5)	-14.0	149.3 (164.5)	54.8 (83.5)	54.5 (83.2)	-3.8 (29.7)	-6.9 (26.6)	-15.6 (17.9)	-23.7	-24.1 (9.4)	96.6 (116.8)	104.9
	-233.01709	-269.61814	-269.63239	-270.85294	-270.88387	-270.79359	-272.05566	-272.07947	-307.40775	-309.85298	-309.85351	-311.09228	-311.09724	-311.11112	-311.12391	-311.12465	-346.52095	-347.65581
	7.2 (30.8)	92.0	82.9 (99.3)	36.5	16.8	74.1 (97.9)	1.2 (29.8)	-14.1	149.5 (164.7)	54.9 (83.6)	54.6 (83.3)	3.4 (30.1)	-6.8 (26.7)	-15.7 (17.8)	-23.7	-24.3	96.5 (116.7)	104.8 (133.0)
9	-233.00107	-269.60362	-269.61822	-270.83630	-270.86771	-270.77646	-272.03677	-272.06120	-307.39391	-309.83304	-309.83354	-311.070361	-311.07577	-311.08985	-311.10261	-311.10360	-346.50449	-347.63524
	13.1	110.1	93.3	47.4	18.8	78.9	8.2	-18.9	143.3	55.0	55.3	4.0	-5.1	-16.9	-25.6	-28.3	87.9	108.3
4	-231.70454	-268.07893	-268.10560	-269.31988	-269.36544	-269.24060	-270.52345	-270.56656	-305.69590	-308.08974	-308.08928	-309.34119	-309.35566	-309.37442	-309.38836	-309.39262	-344,59538	-345.66021
V	8.1	107.6	86.3	412	26.0	6:02	12	-13.7	113.8	46.9	46.2	-3.0	-15.8	-18.8	-31.6	-27.8	75.4	7.86
٤	8.6	106.0	93.4	53.4	19.5	0.97	12	-12.8	148.9	9.99	69.4	4.1	-5.7	-15.7	-22.5	-22.7	95.1	108.0
6	9.2±0.1	88.4±0.4i (104.8)	80±1 (96.4)	35.7±0.4k (58.2)	20±1 (42.5)	72.3±0.8 <sup>m</sup> (96.1)	0.5±0.5 (29.1)	12.4±0.7 (16.2)	149±1 (164.2)	53.0±0.2i (81.7)	52.4±0.3° (81.1)	-3.8±0.7° (29.7)	-6.1±1.0° (27.4)	15.9±0.6" (17.6)	22.3±0.5° (11.2)	23.7±0.3° (9.8)	97±2 (117.2)	106.6±0.2 <sup>i</sup> (134.8)
-	Bicydo[3.1.0]hexane (C.,)	Tetracydolf.1.0.0 <sup>2,4</sup> . 0 <sup>3,5</sup> heptane (C <sub>s</sub> )	Quadricyclane (C <sub>2</sub> ,)	trans-Tricyclo[4.1.0.	Norticydane (C <sub>3v</sub> )	Dispiro[2.1.2.0]hep-tane (C <sub>2</sub> ,)	cis-Bicydo[4.1.0]hep-tane (C <sub>1</sub> )	Bicydo[2.2.1]heptane* (norbomane) ( $C_{2\nu}$ )	Cubane* (O <sub>h</sub> )	Dispiro[2.0.2.2] octane $(C_{2\nu})$	Dispiro[2.1.2.1] octane $(D_m)$	cis-Bicydo[5.1.0]pc-tane (C <sub>s</sub> )	cis-Bicydo[42.0]oc-tane (C <sub>1</sub> )	trans-Bicyclo[3.3.0]oc- tane ( $C_m$ )	cis-Bicydo[3.3.0]pc-tane (C <sub>2</sub> ,)	Bicydop. 2.2 pctane* $(D_{2n})$	Homocubane (C <sub>2v</sub> )	Trispiro[2.0.0.2.1.1]- nonane (C <sub>2</sub> )

# LILIANA CRĂCIUN, JAMES E. JACKSON

Table 1 (continued)

7	1	(133.2)	-6.0	-2.5	-31.8	(6.7)	31.6	32.9		-21.1	-23.5	33.1	-25.1 (18.3)	33.5	-32.1 (11.3)	36.0	41.6	45.0	-22.4 (26.0)	44.9
	247 6556	-247.00000	-350.12494	-350.11931	-350.16598		-350.16575	-350.16784	-388.01745	-388.03132	-388.03504	-389.05042	-389.18452	-389.19787	-389.19568	-389 20235	-389.21074	-389.21624	428.20935	428.24558
9		(133.1)	-5.6 (32.9)	-2.1	-31.8	(6.7)	31.8	33.0	-12.7	-21.5	-23.7 (13.6)	33.6	-25.2 (18.2)	-33.5	-32.2 (11.2)	36.4	41.7	45.1 (-1.7)	22.4 (26.0)	45.3
	247 69540	01000746-	-350.0996	-350.09437	-350.14175		-350.14164	-350.14361	-387.99314	-388.00723	-388.01069	-388.02648	-389.15735	-389.17060	-389.16843	-389.17506	-389.18357	-389.18907	428.17907	428.21535
	4000	6.001	2.3	2.7	-34.1		-33.6	34.3	-19.4	-28.4	-29.6	40.6	-24.4	-33.5	-32.5	48.7	43.5	46.4	-19.6	-57.3
4	245 65034	-040.0330	-348.15494	-348.14956	-348.21288		-348.21210	-348.21330	-385.85945	-385.87380	-385.87574	-385.89338	-387.00849	-387.02301	-387.02141	-387.03267	-387.03893	-387.04355	425.81199	425.85744
•	- 100	0.88	-6.5	-3.0	-36.0		-35.0	-34.8	-20.1	-25.1	-35.0	-34.6	-28.3	-38.3	-37.7	42.3	42.7	44.5	-30.3	47.3
۲	4002	7601	L'L-	-5.7	-28.8		-30.5	-26.5	-12.5	-20.7	-22.1	-31.5	-25.2	-312	-32.5	-36.8	41.0	43.8	-24.3	46.3
2	405 010 3	(134.1)	-5.5±0.2 (33.0)	-6.0±0.3	-30.0±0.5	(8.5)	-30.5±0.6° (8.0)	-31.0±0.5	-14.4±0.9 (22.9)	-20.5±0.6 (16.8)	-24.5±0.9° (12.8)	$-31.8\pm0.3$ (5.5)	-25.3±1.7° (18.1)	-31.1±1.2" (12.3)	-31.4±1.4° (12.0)	-34.7±0.5 <sup>r</sup> (9.4)	40.4±0.5	43.5±0.5 (-0.1)	-21.3±0.5° (27.1)	45.0±0.6
•	Trionim[20.20.20]	nonane (C <sub>3h</sub> )	cis-Bicyclo[6.1.0]no-nane (C <sub>1</sub> )	trans-Bicyclo[6.1.0]no-	cis-Bicydo[4.3.0]no-nane	(C)	Bicydo[3.3.1]nonane (C)	trans-Bicydo[4.3.0]no- nane (C <sub>2</sub> )	endo-Tricydo[5.2.1. 0 <sup>28</sup> ]decane (C <sub>s</sub> )	Protoadamantane (C,)	all-cis-Tricydo[5.2.1.	Adamantane (T <sub>d</sub> )	Bicydol3.3.2 decane (C <sub>s</sub> )	cis-Bicydo[5.3.0]de-cane (C <sub>s</sub> )	trans-Bicyclo[5.3.0]de- cane (C <sub>1</sub> )	Spiro[4.5]decane (C <sub>1</sub> )	cis-Decalin (C <sub>2</sub> )	trans-Decalin (C <sub>2n</sub> )	Bicyclo[3.3.3]undecane (manxane) $(D_{3x})$	Spiro[5,5]undecane (C <sub>2</sub> )

Table 1 (continued)

	6	۲	-	ď		9			
etraspiro[2.0.2.0.2.0.	106.6±0.5i	116.2 100.2	100.2	460.94764	102.1	102.1 463.56650	106.6	106.6 463.59392	106.8
$0$ dodecane $(D_{4h})$	(144.2)						(144.2)		(144.4)
Bicydohexyl (C <sub>2n</sub> )	-52.2±0.7	-52.0	-54.0	464.67579	-52.7	467.25114	-51.3	467.28436	-51.2
	(1.1)						(2.0)	(2.0)	(2.1)
Congressane	-34.9±0.6t	-34.3	-38.5	-538.87130	-50.5	-541.84810	-37.1	-541.87788	-36.0
$(D_{2d})$	(10.0)						(7.8)	(7.8)	(8.9)
rans-anti-trans-Perhy-	-52.7±1.5 <sup>u</sup>	-52.0	-53.1	-541.15806	-53.4	-544.15840	-50.8	-50.8 -544.19452	-50.4
roanthracene (C <sub>2</sub> )	(4.4)						(6.3)		(6.7)
ans-cisoid-trans-Per-	-58.1±0.9	-58.1	-57.9	-541.16868	-60.1	-544.17001	-58.1	-58.1 -544.20612	-57.7
ydroanthracene (C <sub>2</sub> )	(-1.0)						(-1.0)		(9.0-)

<sup>b</sup> Symmetry of lowest energy conformation. <sup>e</sup> From NIST Standard Reference Database 25, Structures and Properties, version 2.02, January 1994, by Lias, S. G.; Liebman, J. F.; Levin, R. D.; Kafafi, S. S. A., unless otherwise noted. <sup>d</sup> Strain energy, from experimental □H<sub>f</sub> and Benson's group equivalents (Benson, S. W., *Thermochemical Kinetics*, John Wiley: New York, 1976). <sup>e</sup> This work; calculated from group equivalents analysis (see Table 2). <sup>f</sup> Heat of formation based on estimated heat of vaporization or sublimation from vapor pressure measurements. Cox, J. D.; Pilcher, G. Heats of formation at 298 K in kcal/mol; total energies in hartrees, 1 H = 627.5 kcal/mol. All structures were fully optimized using Spartan 4.0 (Wavefunction Inc., Irvine, CA). The compounds included in the original Wiberg's regression analysis for deriving group equivalents (ref. 2) are marked with asterisk (\*)

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